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TEACHING EXPERIENCE

1. GRADUATE COURSES:

- Financial Management for Full time MBA and Fully Employed MBA (Face-to-Face, Hybrid, Hyflex, and Online classes): Avg. teaching score 4.9/5
- Corporate Finance (Masters in Finance or MS in Finance): Avg. teaching score 4.9/5
- Management of Financial Risk and Derivatives (Full time MBA, Fully Employed MBA, and MS in Finance): Avg. teaching score 4.8/5
- Advanced Management of Financial Risk (MS in Finance): Avg. teaching score 4.9/5
- Financial Analysis and Markets (MS in Accounting): Avg. teaching score 4.8/5
- Financial Management (On campus MBA Evening): avg. teaching score 4.7/5
- Finance for Managers (Off-campus site Accelerated MBA): avg. teaching score 4.8/5
- Green/Sustainable Finance (will be taught in Spring 2024)

2. UNDERGRADUATE COURSES:

- Fundamentals of Corporate Finance: avg. teaching score 4.6/5
- Financial Institutions and Markets: avg. teaching score 4.7/5
- Commercial Banking Management: avg. teaching score 4.5/5

PUBLICATIONS

Publications in blind peer-reviewed journals as a faculty at Pepperdine University

1. Guisande, D. Harjoto, M., Andreas Hoepner, and Conall O’Sullivan. Ethics and banking: Do banks divest their kind? *Journal of Business Ethics* (ABS 3 & FT50), forthcoming, available at <https://doi.org/10.1007/s10551-023-05476-z>
2. Jain, T., Zaman, R., & Harjoto, M. (2023). Behavioral Agency Theory and Corporate social Irresponsibility: Uncovering the Implication of Fairness in CEO compensation. *Journal of Management*, forthcoming (ABDC = A*, ABS 4* & FT50), forthcoming, available at <https://doi.org/10.1177/01492063231174873>
3. Leung, T., Ng., A., Hoepner, A., & Harjoto, M. (2023). Towards Theorising Corporate Social Irresponsibility: The Déjà Vu Cases of Collapsed Forestry Ventures. **Business Ethics, the Environment and Responsibility**, forthcoming, available at <https://doi.org/10.1111/beer.12551> (ABS 2)
4. Harjoto, M., & Laksmana, I. (2023). The impact of COVID-19 restrictions on audit fees and audit delay: Evidence from auditor local offices. *Managerial Auditing Journal*, 38(4), 447-473, available at <https://www.emerald.com/insight/content/doi/10.1108/MAJ-03-2022-3487/full/html> (ABS 2)

5. Laksmana, I., Harjoto, M., & Kim, H. (2023). Managing disclosure of political risk: The case of socially responsible firms. *Journal of Business Research* 154, 113366. (ABS 3) <https://www.sciencedirect.com/science/article/pii/S0148296322008311>
6. Chun, H., Harjoto, M., & Song, H. (2023). Economic policy uncertainty and corporate donation: evidence from private firms in Korea. *Review of Managerial Science*, 17(3), 909-939. <https://link.springer.com/article/10.1007/s11846-022-00550-8> (ABS 2)
7. Harjoto, M., & Hoepner, A., & Nilsson, M. (2022). Bondholders' returns and stakeholders' interests. *Review of Quantitative Finance and Accounting* 59, 1271-1301. (ABS 3) <https://link.springer.com/article/10.1007/s11156-022-01075-7>
8. Harjoto, M., & Laksmana, I. (2022). The impact of COVID-19 lockdown on audit fees and audit delay: International evidence. *International Journal of Accounting & Information Management* 30(4), 526-545 <https://www.emerald.com/insight/content/doi/10.1108/IJAIM-02-2022-0030/full/html> (ABS 2)
9. Harjoto, M., Hoepner, A., Li, Q. (2022). A Stakeholder Resource-Based View of Corporate Social Irresponsibility: Evidence from China. *Journal of Business Research* 144, 830-843 (ABS 3) <https://doi.org/10.1016/j.jbusres.2022.02.025>
10. Harjoto, M., Hoepner, A., Li, Q. (2021). Corporate Social Irresponsibility and Portfolio Performance: A Cross-National Study. *Journal of International Financial Markets, Institutions and Money* (ABS 3) <https://doi.org/10.1016/j.intfin.2020.101274>
11. Sergi, B., Harjoto, M., Rossi, F., Lee, Robert (2021). Do Stock Markets Love Misery? Evidence from the COVID-19. *Finance Research Letters*, 101923. (ABS 2) Available at <https://doi.org/10.1016/j.frl.2021.101923>
12. Harjoto, M., Laksmana, I. (2021). Defined Benefit Pension Policies and Social Responsibility Performance: Do Socially Responsible Firms Walk the Talk? *Sustainability Accounting, Management and Policy Journal* 12(2), 297-329 (ABS 2) <https://www.emerald.com/insight/content/doi/10.1108/SAMPJ-01-2020-0019/full/html>.
13. Harjoto, M., Rossi, F. (2021). Market Reaction to the COVID-19: Evidence from the Emerging Markets. *International Journal of Emerging Markets* (ABS 1) Available at <https://www.emerald.com/insight/content/doi/10.1108/IJOEM-05-2020-0545/full/html>
14. Harjoto, M., Rossi, F., and Paglia, John. (2021). COVID-19: stock market reactions to the shock and the stimulus. *Applied Economics Letters* 28(10), 795-801. <https://doi.org/10.1080/13504851.2020.1781767> (Open Access)
15. Harjoto, M., Rossi, F., Lee, Robert, Sergi, B. (2020). How Do Equity Markets React to COVID-19? Evidence from Emerging and Developed Countries. *Journal of Economics and Business*. (ABS 2). Available at <https://www.sciencedirect.com/science/article/pii/S0148619520304100>
16. Alderman, Jillian, Harjoto, M. (2020). COVID-19: U.S. Shelter-In-Place Orders and Demographic Characteristics Linked to Cases, Mortality, and Recovery Rates. *Transforming Government: People, Process and Policy Journal*. Available at <https://www.emerald.com/insight/content/doi/10.1108/TG-06-2020-0130/full/html>
17. Harjoto, M., Laksmana, I., Lee, E. (2020). Female Leadership in Corporate Social Responsibility Reporting: Effects on Writing, Readability, and Future Social Performance. *Advances in Accounting* Journal 49, 100475. (ABS 2). <https://doi.org/10.1016/j.adiac.2020.100475>

18. **Harjoto, M.,** Rossi, F. (2020). Corporate non-financial disclosure, firm value, risk, and agency costs: evidence from Italian listed companies. *Review of Managerial Science* 14, 1149-1181. <https://doi.org/10.1007/s11846-019-00358-z> (ABS 2)
19. **Harjoto, M.,** Wang, Y. (2020). Board of Directors Network Centrality and Environmental, Social and Governance (ESG) Performance. *Corporate Governance* 20(6), 965-985. <https://www.emerald.com/insight/content/doi/10.1108/CG-10-2019-0306/full/html> (ABS 2)
20. **Harjoto, M.,** I. Laksmana, & Y. Yang, (2020), “Why Do Companies Obtain the B Corporation Certification?”, *Social Responsibility Journal*, 15(5), 621-639. <https://www.emeraldinsight.com/doi/abs/10.1108/SRJ-07-2018-0170>
21. **Harjoto, M.,** D. Kim, I. Laksmana, & R. Walton, (2019), “Corporate Social Responsibility and Stock Split”, *Review of Quantitative Finance & Accounting (ABS 3)*, 53, 575-600. <https://link.springer.com/article/10.1007/s11156-018-0759-9>
22. **Harjoto, M.,** I. Laksmana, & Y. Yang, (2019), “Board Nationality and Educational Background Diversity and Corporate Social Performance”, *Corporate Governance*, Vol. 19(2), 217-239. (ABS 2)
23. **Harjoto, M.,** & F. Rossi, (2019), “Religiosity, Female Directors, and Corporate Social Responsibility for Italian Listed Companies,” *Journal of Business Research (ABS 3)* Vol. 95 (February 2019), 338-346 <https://www.sciencedirect.com/science/article/abs/pii/S0148296318303904>
24. **Harjoto, M.,** Indrarini Laksmana, and Ya-wen Yang (2018), “Board Diversity and Corporate Investment Oversight”, *Journal of Business Research (ABS 3)*, Vol. 90 (September 2018), 40-47. <https://www.sciencedirect.com/science/article/pii/S0148296318302091>
25. **Harjoto, M.** and Indrarini Laksmana, (2018), “The Impact of Corporate Social Responsibility on Risk Taking and Firm Value,” *Journal of Business Ethics (ABS 3 & FT50)*, Vol 151(2),353-373.<http://link.springer.com/article/10.1007/s10551-016-3202-y>,
26. **Harjoto, M.,** H. Jo, Y. Kim, (2017), “Is Institutional Ownership Related to Corporate Social Responsibility? The Nonlinear Relation and Its Implication for Stock Return Volatility,” *Journal of Business Ethics (ABS3 & FT50)*, Vol 146(1) November 2017, 77-109. <http://link.springer.com/article/10.1007/s10551-015-2883-y>
27. **Harjoto, M.,** (2017), “Corporate Social Responsibility and Corporate Fraud,” *Social Responsibility Journal (ABS 2)*, Vol. 13(4) October 2017, 762-779. <https://doi.org/10.1108/SRJ-09-2016-0166>
28. **Harjoto, M.,** and Jim Salas, (2017), “Strategic and Institutional Sustainability: Corporate Social Responsibility, Brand Value, and Interbrand Listing,” *Journal of Product & Brand Management (ABS 2)*, Vol. 26(4) September 2017, 545-558. <https://doi.org/10.1108/JPBM-07-2016-1277>
29. **Harjoto, M.,** (2017), “Degree of Operating and Financial Leverage and Corporate Social Responsibility,” *Review of Quantitative Finance and Accounting(ABS 3)*, Vol. 49(2) August 2017, 487-513, <http://link.springer.com/article/10.1007/s11156-016-0598-5>
30. **Harjoto, M.,** (2017), “The Impact of Institutional and Technical Social Responsibilities on the Likelihood of Corporate Fraud”, *Business and Professional Ethics Journal*, Vol. 36(2) Summer/May 2017, 197-228. doi: 10.5840/bpej20175257 https://www.pdcnet.org/bpej/content/bpej_2017_0999_5_2_57
31. **Harjoto, M.,** (2017), “Blended versus Face-to-Face: Evidence from Graduate Finance Class,” *Journal of Education for Business*, Vol. 92(3) March 2017, 129-137. <http://www.tandfonline.com/doi/abs/10.1080/08832323.2017.1299082>

32. **Harjoto, M., (2016)**, “MBA Students Perceptions on Pre-Lecture Videos for Corporate Finance Core Course,” *Journal of Financial Education*, **Vol. 42 (Fall/Dec 2016)**, 81-101.
33. Bowden, A., **M. Harjoto**, John Paglia, and Mark Tribbitt, (2016), “On Venture Capital Fund Returns: The Impact of Sector and Geographic Diversification,” *Journal of Accounting & Finance*, **Vol 16(5) (September 2016)**, 85-104
34. **Harjoto, M.**, Indrarini Laksmana, and Robert H. Lee, (2015), “Board Diversity and Corporate Social Responsibility,” *Journal of Business Ethics (ABS 3 & FT50)*, **Vol. 132(4) (Dec 2015)**, 641-660. <http://link.springer.com/article/10.1007/s10551-014-2343-0>
35. **Harjoto, M.**, Indrarini Laksmana, and Robert H. Lee, (2015), “The Impact of Demographic Characteristics of CEOs and Directors on Audit Fees and Audit Delay,” *Managerial Auditing Journal (ABS 2)*, **Vol. 30 (8/9) (August 2015)**, 963 - 997. <http://dx.doi.org/10.1108/MAJ-01-2015-1147>
36. **Harjoto, M.** and Hoje Jo, (2015), “Legal vs. Normative CSR: Differential Impact on Analyst Dispersion, Stock Return Volatility, Cost of Capital, and Firm Value,” *Journal of Business Ethics (ABS 3 & FT50)*, **Vol. 128(1) (April 2015)**, 1-20. <http://link.springer.com/article/10.1007%2Fs10551-014-2082-2#>
37. Paglia, J. and **M. Harjoto**, (2014), “The Effects of Private Equity and Venture Capital on Sales and Employment Growth in Small and Medium-Sized Businesses,” *Journal of Banking and Finance (ABS 3)*, **Vol 47(October 2014)**, 177-197. <http://www.sciencedirect.com/science/article/pii/S0378426614002386>
38. Jo, Hoje and **M. Harjoto**, (2014), “Analyst Coverage, Corporate Social Responsibility and Firm Risk”, *Business Ethics: A European Review (ABS 2)*, **Vol. 23(3) (July 2014)**, 272-292.
39. **Harjoto, M.** and Hoje Jo, (2013), “Do Thinkers Lead Doers? The Causal Relation between CSR and Reputation of Analysts and Brokerage Houses,” *Business and Professional Ethics Journal*, **Vol. 34 No. 3-4 (December 2013)**, 221-258.
40. **Harjoto, M.** and John Paglia, (2012), “Cost of Capital and Capital Budgeting for Privately-Held Firms: Evidence from Business Owners Survey,” *Journal of Accounting and Finance*, **Vol. 12 No. 5 (December 2012)**, 71-85.
41. **Harjoto, M.**, H. C. Yi and T. Chotigeat, (2012), “Why do banks acquire non-banks?” *Journal of Economics and Finance*, **Vol. 36 No.3 (July 2012)**, 587-612.
42. Jo, Hoje and **M. Harjoto**, (2012), “The Causal Effect of Corporate Governance on Corporate Social Responsibility,” *Journal of Business Ethics*, **Vol. 106 No. 1 (ABS 3 & FT50)**, 53-72.
43. **Harjoto, M.** and John Paglia, (2011), “Funding Continuum for Private Business Owners: Evidence from the Pepperdine Private Capital Market Projects Survey”, *Journal of Entrepreneurial Finance*, **Vol. 15 No. 2 (December 2011)**, 1-22.
44. Ghosh, S. and **M. Harjoto**, (2011), “Insiders Personal Stock Donations from the Lens of Stakeholder, Stewardship and Agency Theories,” *Business Ethics: A European Review*, **Vol. 20 No. 4 (October 2011)**, 342-358 (ABS 2).
45. Jo, Hoje and **M. Harjoto**, (2011), “Corporate Governance and Firm Value: The Impact of Corporate Social Responsibility,” *Journal of Business Ethics*, **Vol. 103 No. 3 (October 2011)**, 351-383 (ABS 3 & FT50)
46. Baron, David, **M. Harjoto** and H. Jo, (2011), “The Economics and Politics of Corporate Social Performance”, *Business and Politics*, **Vol. 13 Issue 2 (August 2011)**, Article 1.
47. **Harjoto, M.** and Hoje Jo, (2010), “Corporate Governance and CSR Nexus,” *Journal of Business Ethics*, **Vol. 100 No.1 (ABS 3 & FT50): 45-67.**

48. Paglia, John and **M. Harjoto**, (2010), "The Discount for Lack of Marketability in Privately Owned Companies: A Multiples Approach" *Journal of Business Valuation and Economic Loss Analysis*, Vol. 5 Iss. 1 (July 2010) Article 5: 1-24.
49. Paglia, John and **M. Harjoto**, (2010), "Can Publicly Traded Company Multiples Shed Insights on Discounts for Lack of Marketability?" *Business Valuation Review*, Vol. 29 No. 1 (Spring 2010): 18-22.
50. Paglia, John and **M. Harjoto**, (2009), "The Matched Pairs Approach," *Trusts and Estates*, October 2009: 37-41.
51. Chotigeat, T., **M. Harjoto**, and H. Yi., (2009), "Private Debt Activities in the Asia Pacific," *Multinational Business Review*, Vol. 17 No. 3 (Fall 2009): 49-69.
52. **Harjoto, M.**, Zaima, J., and Jian Zhang, (2009), "Information Content of Whispers Relative to Firm Size," *Managerial Finance*, Vol. 35 No. 7 (Summer 2009): 624-644.
53. **Harjoto, M.** and Hoje Jo, (2009), "CEO Power and Firm Performance: A Test of the Life-Cycle Theory," *Asia-Pacific Journal of Financial Studies*, Vol. 38 No. 1 (Spring 2009): 35-66.
54. Chang, K., **M. Harjoto**, and H. Yi, (2008), "A Simple Way to Convey IRR, Cost of Capital and Investment Performance Using Compustat Data." *Journal of Financial Education*, Vol. 34 (Spring 2008): 68-94.
55. *Decision Journal*, Vol. 19 (1): Article 2, Summer 2007.
56. **Harjoto, M.**, D. Mullineaux, and Ha-Chin Yi, (2006), "Loan Pricing at Investment Banks versus Commercial Banks," *Financial Management*, Vol. 35 (4): 49-70, Winter 2006 (ABS 3).
57. **Harjoto, M.**, (2006), "Commercial Loan Premium for Firms Prior to Bankruptcy," *Commercial Lending Review*, Vol. 21 (6): 17-25, Nov/Dec 2006.
58. **Harjoto, M.** and H. Turetsky, (2006), "Acquisition of IPO Firms: Cash Flow Based Measures of Operating Inefficiency," *Advances in Accounting Journal*, Vol. 22: 167-199, Fall 2006 (ABS 2).
59. **Harjoto, M.** and F. Jones, (2006), "Rebalancing Strategy for Stocks and Bonds Asset Allocation," *Journal of Wealth Management*, Vol. 9 (1): 37-44, Summer 2006.
60. Zaima, J. and **M. Harjoto**, (2005), "Conflict in Whispers and Analyst Forecasts: Which One Should Be Your Guide?," *Financial Decision Journal*, Vol. 17 (3): Article 6, Fall 2005.
61. **Harjoto, M.** and J. Garen, (2005), "Inside Ownership Beyond the IPO: The Evolution of Corporate Ownership Concentration," *Journal of Corporate Finance*, Vol. 11 (4): 661-679, Spring 2005 (ABS 3).
62. **Harjoto, M.** and D. Mullineaux, (2003), "The Impact of the Transformation of Banking on CEO Compensation," *Journal of Financial Research*, Vol. 26 (3): 341-354, Fall 2003.
63. Dubil, R. and **M. Harjoto**, (2003), "Are Venture Capital Firms and Hedge Funds Safer Than Mutual Funds? A Theory of Investor Loss Aversion," *Journal of Wealth Management*, Vol. 6 (2): 86-95, Fall 2003.
64. **Harjoto, M.** and J. Garen, (2003), "Why Do IPO Firms Conduct Primary Seasoned Equity Offerings?" *Financial Review*, Vol. 38 (1): 103-125, February 2003 (ABS 2).

WORKING PAPERS

- Harjoto, M., Indrarini Laksmana, Hoyoung Kim. Lobbying and Political Risk Disclosure: Do Socially Responsible Firms Disclose More? **Under review at Journal of Business, Finance & Accounting (ABS 3).**

- Harjoto, M., Sunghoon Joo, Sangmook Lee and Hakjoo Song. CEO Option Compensation and Corporate Social Responsibility: The Behavioral Agency Model. **Under review at Sustainability Accounting, Management & Policy Journal.**

WORK IN PROGRESS

- Arimond, Alexander, Damian Borth, M. Harjoto, Andreas Hoepner, Michael Klawunn, and Stefan Weisheit. Neural Networks and Value-at-Risk in Asset Management. Submitted to the Journal of Empirical Finance.
- Harjoto, M., Sunghoon Joo, Sangmook Lee and Hakjoo Song, Gambling Attitudes and Corporate Social Responsibility.
- Harjoto, M. The Behavioral Agency Model and the Moderating Effect of Social Capital on Corporate Climate Change.
- Harjoto, M., & Wang, Y. Economic Policy Uncertainty and ESG Disclosure: Moderating Effect of Board Centrality. Coauthored with Yan Wang, Nottingham Business School, UK.

ASSOCIATE EDITORSHIP:

1. Associate Editor at the Business Ethics, the Environment and Responsibility (ABS 2) <https://onlinelibrary.wiley.com/page/journal/26946424/homepage/editorialboard.html>
2. Associate Editor at the Review of Managerial Science <https://www.springer.com/journal/11846/editors>
3. Editorial Board at the Advances in Accounting <https://www.journals.elsevier.com/advances-in-accounting/editorial-board>

PRESS RELEASES & ELECTRONIC MEDIA COVERAGE:

- No, ‘Wokeness’ Did Not Cause Silicon Valley Bank’s Collapse. *The New York Times*, by Linda Qiu, March 16, 2023, p. A20 (published online March 15, 2023 at <https://www.nytimes.com/2023/03/15/us/politics/silicon-valley-bank-collapse-woke-fact-check.html>)
- Study finds a diverse corporate boards rein in risk, good for shareholders, *Fortune*, <http://fortune.com/2014/07/30/study-finds-a-diverse-corporate-boards-rein-in-risk-good-for-shareholders>
- Study Finds PE- and VC-Backed Have Significantly Stronger Sales and Job Growth, *Reuters*, <http://privatemarkets.thomsonreuters.com/study-finds-pe-and-vc-backed-have-significantly-stronger-sales-and-jobgrowth/21056753.article>.
- The Economics and Politics of Corporate Social Performance, *The Moskowitz Prize*, Northwestern University. <https://www.kellogg.northwestern.edu/social-impact/academics/moskowitz-prize.aspx> and <https://www.newswise.com/articles/new-study-examining-financial-performance-social-performance-and-social-pressure-wins-prize-for-socially-responsible-investment-research>
- The Street News ‘Whisper’ Numbers Roar Again, *Business Week*, February 20, 2006, p. 13.
- Turning Up the Volume on Whisper Numbers, *CFO Online Magazine*, February 24, 2006. Available at <http://www.cfo.com/article.cfm/5541415?f=search>
- Will Sirius Satellite and Microsoft Top Earnings Expectations? *PRWeb Newswire*, January 23, 2006. Available at <http://www.prweb.com/releases/2006/1/prweb336297.htm>

- Investor's Earnings Estimates Top Analysts in Returns and Impact on Stocks, *WhisperNumber.com News Release*, January 17, 2006. Available at http://www.whispernumber.com/01_17_06_pr.jsp

PRESENTATIONS AT PROFESSIONAL CONFERENCES

1. Economic Policy Uncertainty and Environmental, Social, and Governance (ESG) Disclosure: The Moderating Effect of Board Network Centrality. Coauthored with Yan Wang (Nottingham Trent University). 2022 American Accounting Association Annual Conference, San Diego CA (August 2, 2022).
2. Corporate social irresponsibility (CSiR): Does CEO compensation matter? Coauthored with Tanusree Jain (University of Trinity Dublin, Ireland) and Rashid Zaman (Edith Cowan University, Perth, Australia). Academy of Management (AOM) 2021 Annual Conference (accepted at Social Issue in Management Division).
3. Mending the Gap between CSR Precedence and the UN SDG Targets: Natural Resources Use, Emissions, and Firm Performance (coauthored with Jillian Alderman, Robert Lee and Qian Li (Cardiff)). 2020 American Accounting Association Annual Conference, Online.
4. Academy of Management (AOM) 2020 Conference (Online):
 - a. *Upstream or Downstream? Suppliers-Customer CSR Performance Links*. (coauthored with Robert Lee and Michael Paz (Cornell University)).
 - b. *Do Socially Responsible Firm Walk the Talk? CSR Performance and Defined Benefit Pension Policies* (coauthored with Indrarini Laksmana, Kent State University).
5. A Stakeholder Resource-based View of CSI: Evidence from Shareholder Value Destruction in China. Coauthored with Qian Li (Cardiff University) and Andreas Hoepner (University College Dublin). Presented at Academy of Management, Boston, MA and session chair. (August 11, 2019).
6. *Corporate Social Responsibility and Stock Split*. Presented at the American Accounting Association (AAA) 2018 Annual Conference, Washington D.C., August 7, 2018.
7. *Religiosity, Female Directors, and Corporate Social Responsibility for Italian Listed Companies*. Presented at the European Business Ethics Network (EBEN) Annual Conference, Tilburg University, Tilburg, The Netherlands, June 29, 2018.
8. *The Determinants of Social Impact Enterprises: Evidence from the B Lab Certification in the United States*. Presented at the International Association for Business & Society (IABS) 2017 Annual Conference, Amsterdam, June 30, 2017.
9. *Board Nationality Diversity and Corporate Social Performance*. Presented at the International Association for Business & Society (IABS) 2017 Annual Conference, Amsterdam, July 1, 2017.
10. *Corporate Social Responsibility and Brand Management*. Presented at the European Business Ethics Network (EBEN) Annual Conference, Nottingham Trent University, Nottingham UK, June 21, 2016.
11. *Corporate Social Responsibility and Corporate Fraud*. Presented at the European Business Ethics Network (EBEN) Annual Conference, Nottingham Trent University, Nottingham UK, June 21, 2016.
12. *Corporate Social Responsibility, Risk Taking, and Firm Value*. Coauthored with Dr. Indrarini Laksmana. Presented at the Academy of Management (AOM) Annual Conference (SIM 20266), Vancouver, Canada, August 11, 2015.

13. *The Impact of Demographic Characteristics of CEOs and Directors on Audit Fee and Audit Delay*. Coauthored with Dr. Indrarini Laksmana and Dr. Robert Lee. Presented at the Academy of Management (AOM) Annual Conference (GDO 10231), Vancouver, Canada, August 11, 2015.
14. *Corporate Social Responsibility and Corporate Risk Taking*. Coauthored with Dr. Indrarini Laksmana. Presented at the Society for Business Ethics (SBE) Annual Conference (Session The Financial Crisis and Financial Risk 0017), Vancouver, Canada, August 9, 2015.
15. *Corporate Social Responsibility and Degrees of Operating and Financial Leverage* (sole author). Presented at the Western American Accounting Association (WAAA) Conference, San Diego, CA, May 2, 2015.
16. *Board Diversity and Corporate Social Responsibility*. Coauthored with Dr. Robert Lee and Dr. Indrarini Laskmana. Presented at the American Accounting Association (AAA) Conference, Atlanta, GA, August 5, 2014.
17. *Board Diversity and Risk Taking*. Coauthored with Dr. Indrarini Laksmana and Dr. Ya-wen Yang. Presented at the American Accounting Association (AAA) Conference, Atlanta, GA, August 4, 2014.
18. *Net Economic Welfare Impacts of Private Equity and Venture Capital Investment in Small- and Medium-Sized Businesses*. Coauthored with Dr. John Paglia. Presented at the Financial Management Association (FMA) Annual Conference, Chicago, IL, October 18, 2013.
19. *The Impact of CEOs and Directors Gender and Ethnicity on Audit Fees and Audit Delay*. Coauthored with Dr. Robert Lee and Dr. Indrarini Laskmana. Presented at the American Accounting Association (AAA) Conference, Anaheim, CA, August 5, 2013.
20. *The Role of Private Equity and Venture Capital*. Coauthored with Dr. John Paglia. Presented at the Southwestern Finance Association (SWFA) Conference, Albuquerque, NM, March 16, 2013.
21. *Legal vs. Normative CSR: How Does It Work?* Coauthored with Dr. Hoje Jo. Presented at the Southwestern Finance Association (SWFA) Conference, Albuquerque, NM, March 14, 2013.
22. *The Causal Relation between Corporate Social Responsibility and Analyst Reputation*. Coauthored with Dr. Hoje Jo. Presented at the Financial Management Association (FMA) 2012, Atlanta, GA, October 19, 2012. Session 139.
23. *Corporate Social Responsibility and Institutional Ownership*. Coauthored with Dr. Hoje Jo and Dr. Yongtae Kim. Presented at the American Accounting Association (AAA) 2012, Washington D.C., August 8, 2012.
24. *Does Corporate Social Responsibility (CSR) Reduce Risk? Legal and Normative CSR, Firm Value, Risk and Analyst Dispersion*. Coauthored with Dr. Hoje Jo. Presented at the American Accounting Association (AAA) Ethics Symposium 2012, Washington D.C., August 5, 2012.
25. *Cost of Capital and Capital Budgeting for Privately-Held Firms: Evidence from Pepperdine PCM Business Owners Survey*. Coauthored with Dr. John Paglia. Presented at the Eastern Finance Association (EFA) 2012, Boston, MA, April 13, 2012.
26. *Private Capital Markets and Business Owners Perceptions: Evidence from Business Owners Survey*. Coauthored with Dr. John Paglia. Presenting at the Financial Management Association (FMA) 2011, Denver, Colorado, October 20, 2011.
27. *Cost of Capital for Privately-Held Firms and Private Capital Segments: Evidence from the Field*. Coauthored with Dr. John Paglia. Presented at the Academy of Entrepreneurial Finance Conference, Chicago, IL, September 17th, 2010.

28. *The Economics and Politics of Corporate Social Performance*. Coauthored with Dr. David Baron and Dr. Hoje Jo. Presented at the American Economic Association (AEA), January 4, 2009 San Francisco, CA. http://www.aeaweb.org/annual_mtg_papers/2009/
29. Three research papers with titles: *Why do Banks Acquire Non-Banks? Information Content of Whispers Relative to Firm Size Why do Firms Engage in Corporate Social Responsibility?* Presented at the Financial Management Association (FMA), Oct. 10-12, 2008, Grapevine, TX.
30. *Do Bulls and Bears Listen to Whispers?* Coauthored with Dr. Janis Zaima. Presented at the Southern Finance Association (SFA) Conference, Nov. 16, 2006, Destin, Florida.
31. *Dynamic Rebalancing Asset Allocation of Stock and Bond Investment*. Coauthored with Dr. Frank Jones. Presented at the Association of Financial Services (AFS) Conference, Oct. 11, 2006, Salt Lake City, UT.
32. *Bank Loan Pricing for Corporate Bankruptcy*. Sole author. Presented at the National Business and Economic Society Conference, March 10, 2006, Los Cabos, Mexico.
33. *IPO Underwriting Cost in Asia-Pacific*. Sole author. Presented at the Association for Global Business Conference, Nov. 18, 2005, Miami Beach, FL.
34. *Acquisition of IPO Firms: Cash Flow Based Measures of Operating Inefficiency*. Coauthored with Dr. Howard Turetsky. Presented at the 2nd Advances In Management Accounting (IAMA) Conference, May 12, 2005, Monterey Peninsula, CA.
35. *The Persistence of Earnings and Corporate Governance in IPO Firms*. Coauthored with Dr. Mary Calegari. Presented at the Association for Global Business Conference, Nov. 19, 2004, Cancun, Mexico.
36. *Loan Pricing at Investment Banks versus Commercial Banks*. Coauthored with Dr. Donald Mullineaux and Dr. Ha-Chin Yi. Presented at the 2004 FMA Meeting, Oct. 8, 2004, New Orleans, LA.
37. *Inside Ownership Beyond the IPO: The Evolution of Corporate Ownership Concentration*. Coauthored with Dr. John Garen. Presented at the 2003 Southern Economics Meeting, Nov. 21, 2003, San Antonio, TX.
38. *Market Response to Whispers and Analysts Forecasts Errors*. Coauthored with Dr. Janis Zaima. Presented at the 2003 FMA International Meeting, Oct10, 2003, Denver, CO.
39. *Why Do IPO Firms Conduct Primary Seasoned Equity Offerings?* Coauthored with Dr. John Garen. Presented at the 2002 FMA Meeting, Oct. 19, 2002, San Antonio, TX.
40. *Investment Decision for Newly Public Firms*. Coauthored with Dr. John Garen. Presented at the 2002 FMA International Meeting, Oct. 17, 2002, San Antonio, TX.

SERVICES

1. **Pepperdine University Management Committee (UMC): Spring 2018 – present.**
2. **Pepperdine University Faculty Council (UFC): August 2021-July 2023**
3. **PGBS Tenure, Retention and Promotion Committee: August 2021-July 2023**
4. **Master of Science in Real Estate (MSRE): January 2023-December 2023**
5. **Master of Science in Business (MSB): January 2023-December 2023**

6. **Pepperdine University Coordinator for the Bloomberg Terminals: January 2016-present**
Responsibilities: (1) increase the utilization of the Bloomberg terminals at the Graziadio School; (2) work with Pepperdine IT if there is any problem with the functionality of all Bloomberg terminals; (3) work with PGBS advancement office and the Pepperdine University Foundation staff to create annual reports to demonstrate the utilization of Bloomberg terminals for our donors who have donated funding to obtain and to maintain our Bloomberg terminals subscriptions (approx. \$27,000 per terminal per year for three Bloomberg terminals). I created short videos on how to use the Bloomberg Terminals for students and faculty. I held up face-to-face presentations to our students on how to use Bloomberg terminals.
7. **Full-time MBA Committee: January 2018 – July 2020.**
8. **Academic Director of the Masters in Applied Finance or MSAF Program (appointed by the Dean and voted by the Faculty Council): 2015-2017.**
Responsibilities and Achievements:
 - (1) Provide faculty leadership and academic and curriculum revision oversight for the MS in Applied Finance (MSAF).
 - (2) Collaborate with the relevant Program Committee and Associate Deans to develop and execute program and course learning outcomes (PLOs and CLOs) for the program and assesses progress toward attainment of these outcomes and identify opportunities for curriculum enhancements and innovations, then champion the agreed changes through the curriculum approval processes.
 - (3) Collaborate with the appropriate administrators (deans and other directors), staff and the relevant Admissions Committee to effectively market and recruit for the program, while meeting enrollment goals (100-125 students in fall and 25-30 students in spring).
 - (4) Collaborate with the relevant Department Chair(s) on achieving the best possible alignment of faculty, teaching, learning outcomes, and successfully led curriculum changes.
 - (5) Collaborate with the Dean, Associate Deans, and Executive Directors to develop and enhance appropriate extra-curricular activities, developing industry connections for guest speakers, internships, and placements.
 - (6) Contribute to cultivating a positive overall learning experience for the students and advancing the reputation and ranking of the MSAF program.
9. **The Graziadio Personnel Committee for Faculty Retention, Tenure, and Promotion (elected for 2 consecutive years): 2013-2015.**
10. **Masters in Applied Finance Program Committee (Committee Chair): 2008-2017.**
11. **The Graziadio Learning Assurance Committee (appointed): 2013-2017**
12. **Full-Time Program Curriculum Committee (appointed): 2008- 2013**
13. **Full-Time Program Admission Committee (appointed): 2007-2009**

AWARDS

1. The S&P Global Academic ESG Research Award <https://www.pm-research.com/SPGlobal-2021Winners>
2. The 2019-2021 Denney Professorship Academic Research Award from Graziadio School of Business and Management (August 1, 2019 to July 31, 2021).
3. The 2015-2017 Denney Professorship Academic Research Award from Graziadio School of Business and Management (July 24, 2015 to July 31, 2017).
4. The 2017 John Nicks Faculty Service Award from from Graziadio School of Business and Management.
5. The 2015 Golden Torch Faculty Teaching Award from the Graziadio School of Business and Management (awarded based on students votes), Pepperdine University.
6. The 2012 Rothschild Applied Research Fellow Award from the Graziadio School of Business and Management, Pepperdine University.
7. The 2011 Howard A. White Teaching Award, Pepperdine University (University Level teaching award. Awarded for one faculty in the entire School per academic year).
8. The 2011-2013 Julian Virtue Professorship Research Award from the Graziadio School of Business and Management, Pepperdine University (July 28, 2011 to July 31, 2013).
9. The 2010 Rothschild Applied Research Fellow Award from the Graziadio School of Business and Management, Pepperdine University.
10. The 2009 Moskowitz Prize Winner from University of California at Berkeley Center for Responsible Business. *The Economics and Politics of Corporate Social Performance*. Coauthored with Dr. David Baron and Dr. Hoje Jo. <https://www.kellogg.northwestern.edu/social-impact/academics/moskowitz-prize.aspx>
11. Lucas Graduate Teaching Award, College of Business, San Jose State University, Spring 2007.
12. Lucas Fellowship from the Lucas Graduate School of Business, San Jose State University, Spring 2007.
13. Lucas Fellowship from the Lucas Graduate School of Business, College of Business, San Jose State University, Spring 2006.
14. Dean's Academic Research Award, College of Business, San Jose State University, Spring 2006.

EXTERNAL RESEARCH GRANTS

1. The S&P Global Academic ESG Research Award <https://www.pm-research.com/SPGlobal-2021Winners>
2. Comparative and Impact Studies of Certified B Corporations and GIIRS Rated Funds. Funded in April 2015-August 2018 by the CASEi3 Duke University. <http://sites.duke.edu/casei3/for-researchers-2/case-i3-b-lab-and-giirs-research-project/>
3. Private Equity and Venture Capital Portfolios and Business Cycle. September 2014-September 2015 granted by the Pitchbook.